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11321-P014US

PATENT

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UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of: : Group Art Unit:
Richard E. Smalley et al. : 1773
Serial No.: 09/935,995 : Examiner: Not Yet Assigned
Filed: August 23, 2001
Title: POLYMER-WRAPPED SINGLE WALL CARBON NANOTUBES

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

CERTIFICATION UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence (along with any item referred to as being enclosed herewith) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on March 5, 2002.

Signature

Gracie Segovia
(Printed name of person certifying)

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While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.


In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the pending patent applications, for which no copies are being submitted.

Respectfully submitted,

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In Place of FORM PTO-1449 (Modified)

Serial No.: 09/935,995

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANTS' INFORMATION DISCLOSURE
STATEMENT**

Applicants: Richard E. Smalley et al

Filing Date: August 23, 2001

Group: 1773

Atty. Docket No.: 11321-P014US

Reference Designation

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Translation Yes No
AAA	EP 0 949 199 A1	10/13/1999	Europe			Yes

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial	
ADA	BAHR, <i>et al.</i> , "Functionalization of Carbon Nanotubes by Electrochemical Reduction of Aryl Diazonium Salts: A Bucky Paper Electrode," <i>J. Am. Chem. Soc.</i> , Volume 123, Number 27, 2001, pp. 6536-6542.
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AGA	DALTON, <i>et al.</i> , "A functional conjugated polymer to process, purify and selectively interact with single wall carbon nanotubes," <i>Synthetic Metals</i> , 121 (2001), pp. 1217-1218.
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AKA	PANHUIS, <i>et al.</i> , "Optimal polymer characteristics for nanotube solubility," <i>Synthetic Metals</i> , Volume 121 (2001), pp. 1187-1188.
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Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.